

Detecting and Preventing Fraud

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Governance, Risk and Compliance Track: G31

Session Abstract

The session will cover the identification and mitigation of occupational fraud. Topics will include the types and classifications of occupational fraud, case studies, internal controls for fraud detection/prevention and industry best practices.

Target Audience

Risk management and audit professionals interested in learning about best practices of the detection, mitigation and response to occupational fraud and other financial crimes.

COBIT Objectives

General topic.

Speaker Bio

Quinn Sawyer is responsible for all core business & technology projects related to the Bank's Anti-Money Laundering, Fraud Prevention and Corporate Security programs. In addition, he serves as the Bank's Identity Theft Prevention Program manager and is chair of the Fraud Prevention Roundtable. His Risk & Strategy department is accountable for implementing key initiatives, assessing emerging risks, providing subject matter expertise on enterprise-level projects and managing integration activities for acquired financial institutions.

Quinn joined Union Bank in 2006 and was instrumental in building the Financial Intelligence Unit in response to regulatory enforcement actions. During his time with the FIU he established and managed the Risk Services Unit which was responsible for the Enhanced Due Diligence program, quality control for regulatory filings, media monitoring for financial crimes and a formal in-house training program for BSA/AML professionals.

Prior to Union Bank, Quinn was a manager in Wells Fargo's Deposit Risk Operations where he established the Identity Theft Operations team and was responsible for implementing the BITS & Financial Services Roundtable's Identity Theft Assistance Center (ITAC) initiative in partnership with Wachovia.

He is an economics graduate of The Colorado College and is a Certified Fraud Examiner (CFE) and Certified Anti-Money Laundering Specialist (CAMS).